

1 **Title:**

2 *Aggregatibacter Actinomycetemcomitans* Infection Enhances Apoptosis *In Vivo* Through a
3 Caspase-3 Dependent Mechanism in Experimental Periodontitis

4 **Running title:**

5 *A.a.* induced periodontal inflammation and apoptosis

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39

40 **ABSTRACT**

41 The purpose of this study was to test the hypothesis that diabetes aggravates periodontal
42 destruction induced by *Aggregatibacter actinomycetemcomitans* (*Aa*) infection. Thirty-eight
43 diabetic and 33 normal rats were inoculated with *Aa* and euthanized at baseline, 4, 5 and 6 weeks
44 after inoculation. Bone loss and the infiltration of polymorphonuclear leukocytes (PMNs) in
45 gingival epithelium were measured in hematoxylin and eosin-stained sections. The induction of
46 TNF- α was evaluated by immunohistochemistry and apoptotic cells by the transferase-mediated
47 dUTP nick-end labeling (TUNEL) assay. After *Aa* infection, the bone loss in diabetic rats was
48 1.7-fold and PMN infiltration 1.6 fold higher than in normoglycemic rats ($P<0.05$). The
49 induction of TNF- α was 1.5-fold higher and apoptotic cells up to 3 fold higher in diabetic vs.
50 normoglycemic rats ($P<0.05$), respectively. Treatment with a caspase-3 inhibitor significantly
51 blocked non-inflammatory cell apoptosis induced by *Aa* infection in gingival epithelium and
52 connective tissue ($P<0.05$).). The results provide new insight into how diabetes aggravates *Aa*
53 induced periodontal destruction in rats by significantly increasing the inflammatory response
54 leading to increased bone loss and enhancing apoptosis of gingival epithelial and connective
55 tissue cells through a caspase-3-dependent mechanism. Antibiotics had a more pronounced effect
56 on many of these parameters in diabetic than normoglycemic rats suggesting a deficiency in the
57 capacity of diabetic animals to resist infection.

58

59 **Keywords:** *Aggregatibacter actinomycetemcomitans*; diabetes; periodontal disease; apoptosis;
60 host-pathogen interactions; animal models; caspase, inflammation, bone

61

62

63 INTRODUCTION

64 Periodontitis is one of the most prevalent infectious diseases worldwide. It is characterized
65 by loss of supporting connective tissue and alveolar bone around the teeth (36). Although
66 triggered by a bacterial infection the destruction of periodontal tissue is caused by the
67 inflammatory response to pathogenic bacteria. Immune mediators such as IL-1, TNF- α , IL-6 and
68 RANKL have been found to be abundantly expressed in humans with periodontal disease and
69 increased levels have been shown in the crevicular fluid from patients with periodontitis (4, 13,
70 32). Animal studies have established cause and effect relationships between these cytokines and
71 periodontal breakdown (13, 24).

72 There are several types of periodontal diseases ranging from chronic periodontitis that
73 affects adults to a form of aggressive periodontitis that primarily affects adolescents, localized
74 aggressive periodontitis. Localized aggressive periodontitis (LAgP) is characterized by severe
75 and rapid destruction of the supporting apparatus of the teeth, which may lead to tooth loss early
76 in life (3). *A. actinomycetemcomitans* (*Aa*) is commonly linked to LAgP (3, 16) and studies have
77 shown that periodontal treatment leads to a reduction in its levels (5, 31). Presence of *Aa* in
78 periodontal pockets has also been considered an indicative of future disease progression (9, 16).
79 *Aa* has virulence factors such as leukotoxin and cytolethal distending toxin (CDT) that may
80 contribute to its capacity to induce rapid tissue destruction by promoting apoptosis of a number
81 of host cell types (20).

82 A rat model has been developed to study pathogenic mechanisms of *Aa* induced periodontal
83 tissue destruction (8, 28, 38, 39). This model is characterized by infecting the animals with a
84 rough strain of *Aa* that adheres to the oral epithelium and teeth (7). Although it may not mimic
85 the specific form of periodontal disease found in localized aggressive periodontitis in humans,

86 this model has provided insight into the colonization of the oral cavity by this bacterium and
87 inflammation induced periodontitis (28). However, relatively little is known about the local
88 changes that are induced by this bacterium *in vivo*.

89 Periodontal disease is triggered by bacterial infection but the local inflammatory response
90 has been shown to mediate the actual destruction of periodontal tissue. This response can be
91 modulated by systemic conditions such as diabetes. Diabetes has been identified as one of the
92 important risk factors for periodontitis increasing both its prevalence and severity (26, 27, 40).
93 One mechanism through which diabetes increases periodontal tissue loss and other diabetic
94 complications is by exacerbating the inflammatory response to periodontal pathogens through
95 increased oxidative stress, advanced glycation end products and expression of cytokines such as
96 TNF- α (12, 25, 33, 37).

97 Apoptosis is thought to contribute to periodontal disease progression. It has been suggested
98 that apoptosis of epithelial cells may contribute to the loss of epithelial barrier function (6).
99 Moreover, loss of gingival fibroblasts has been shown to be one of the largest cellular changes
100 that occurs with periodontal disease progression and may be associated with loss of connective
101 tissue attachment (29, 43). Infection by *Aa* has been shown to induce apoptosis *in vitro* (21-23).
102 However, relatively little is known about how it induces apoptosis *in vivo* and how a systemic
103 condition such as diabetes affects *Aa* induced apoptosis and periodontal tissue destruction.
104 Studies presented here address these issues using diabetic and matched normoglycemic rats,
105 which are natural hosts of *Aa*. The results indicate that the effect of *Aa* infection on bone loss,
106 TNF- α expression and apoptosis of epithelial cells and non-leukocytic gingival connective tissue
107 cells is aggravated by diabetes. Moreover we demonstrate that apoptosis is induced by a
108 caspase-3 dependent mechanism.

109

110 **Materials and Methods**111 **Animals**

112 Goto-Kakizaki (GK) and normoglycemic control matched Wistar rats (5–10 weeks of age)
113 weighing 150–250g were purchased from Charles River Laboratories (Wilmington, MA). The
114 GK rat is a non-obese Wistar substrain that develops type 2 diabetes mellitus at age
115 approximately 8 weeks. Rats were considered to be diabetic when glycated hemoglobin (HbA1c)
116 levels exceeded 7.0%. During the experiments the HbA1c level in GK rats was typically
117 7.0-10.5%. All normoglycemic rats had HbA1c that ranged from 4.3 to 4.8%. All animal
118 procedures were approved by the Institutional Animal Care and Use Committee.

119 ***Aggregatibacter actinomycetemcomitans (Aa) Inoculation***

120 Both diabetic (GK) and normal (Wistar) rats were inoculated with *Aa* as previously
121 described (39). To depress the ‘natural’ resident flora, rats received in their water a daily dose of
122 kanamycin (20 mg) and ampicillin (20 mg) for 4 days. During the last 2 days of antibiotics
123 treatment, the oral cavities of the rats were swabbed with a 0.12% chlorhexidine gluconate rinse
124 (Peridex, Procter and Gamble, Cincinnati, OH). After a subsequent period of 3 days without
125 antibiotics treatment, the rats were divided into 6 groups of approximately 7 rats each. The
126 adherent *Aa* strain, Columbia University *Aa* clinical isolate #1,000 (CU1000NRif) was incubated
127 in *Aa*-growth media with 35 mg/ml rifampicin (Sigma-Aldrich, St. Louis, MO) for 2 days.
128 Adherent cells in the culture dishes were scraped into a solution of PBS plus 3% sucrose and
129 minor adjustment was made by the addition of buffer to obtain 10^8 cells/ml (optical density₅₆₀ =
130 0.80). After fasting for 3 hours, rats received 10^8 *Aa* cells in 1g of powdered food supplemented
131 with 3% sucrose. This protocol was followed for 4 days and repeated the next week for a total of
132 8 *Aa* inoculations in food (39). During the first 4 days of the feeding rats also received 10^8 *Aa* in

133 PBS by oral gavage. After 1 hour, the inoculated food was removed and replaced with regular
134 powdered food. Rats were euthanized four, five and six weeks after the inoculation period was
135 completed. Baseline animals did not receive *Aa* in their food and were not inoculated with *Aa* but
136 did receive powdered food supplemented with 3% sucrose under the same conditions as
137 experimental rats.

138 **Treatment with Antibiotics and caspase-3 inhibitor**

139 Four weeks after *Aa* inoculation two groups of rats received in their water a daily dose of
140 kanamycin (20 mg) and ampicillin (20 mg) for 4 days with the intention to reduce the infection.
141 Concomitantly, the oral cavities of the rats were swabbed with a 0.12% chlorhexidine gluconate
142 rinse (Peridex, Procter and Gamble, Cincinnati, OH).

143 Caspase-3 inhibitor (Z-DEVD-FMK, SM Biochemicals, Anaheim, CA) was administered
144 by intraperitoneal injection (1.5mg/kg). Control animals were injected with the same volume of
145 vehicle (2% DMSO, MP Biomedicals, Solon, OH). Caspase-3 inhibitor begun one week prior to
146 euthanasia and was injected daily until euthanized.

147 **Sampling of total anaerobic bacteria (CFU) and Detection of *Aa* by PCR**

148 Two microbial samples were collected, one after the inoculation of *Aa* and the other at the
149 time of euthanasia. The rats were anaesthetized and their oral microflora was sampled with a
150 cotton tip swab for soft tissue sampling, and a toothpick (Johnson & Johnson, Piscataway, NJ)
151 for hard tissue sampling. Both samples were combined in tubes containing 1 ml PBS. Serial
152 ten-fold dilutions were made and plated on trypticase soy agar (TSA) with 5% sheep blood (BD
153 biosciences, San Jose, CA) for total anaerobe counts. Trypticase soy agar plates were incubated
154 in an anaerobic atmosphere at 37°C for 7 days to obtain total bacterial counts. To detect whether
155 *Aa* was present in the samples DNA was prepared directly from the collected oral samples with a

156 DNA extraction kit (Qiagen, Valencia, CA) and subjected to polymerase chain reaction (PCR)
157 analysis using forward and reverse primers
158 (5'-GGAATTCCTAGGTATTGCGAAACAATTTGATC-3' and
159 5'-GGAATTCCTGAAATTAAGCTGGTAATC-3', respectively), which amplified a
160 262-base-pair PCR product from the *Aa* leukotoxin gene as previously described (10).

161 **Level of Antibody to *Aa***

162 IgG antibody reactive with *Aa* was assessed by enzyme-linked immunosorbent assay
163 (ELISA). Blood was collected by cardiac puncture and serum was obtained and stored at -20°C.
164 An *Aa* lysate was prepared and used to coat the wells of microtiter dishes (NUNC-ImmunoPlate
165 with Maxi Sorp surface, Thermo Fisher Scientific, Rochester, NY). A standard curve was
166 generated using purified rat IgG (Sigma-Aldrich, St Louis, MO) in carbonate-bicarbonate buffer,
167 pH 9.6 (Sigma-Aldrich, St Louis, MO). Rat serum diluted 1/5 and 1/10 in blocking buffer was
168 added to the wells coated with the *Aa* pellet lysate. The serum dilutions were added in duplicate
169 wells, washed, incubated with rabbit anti-rat IgG-Fc conjugated to alkaline phosphatase (Bethyl
170 Laboratories, Montgomery, TX) and quantified with p-nitrophenyl phosphate substrate
171 (Sigma-Aldrich, Saint Louis, MO). Absorbance was read on a microplate reader at 405 nm.

172 **Histomorphometric Analysis of Hematoxylin-/Eosin-stained Sections**

173 Right maxillas were fixed in 4% paraformaldehyde at 4°C for 48 hours and decalcified in
174 10% EDTA (pH 7.0) for 12 weeks. Paraffin-embedded sagittal sections were prepared at a
175 thickness of 5 microns. The mid-interproximal region between 1st and 2nd molars was examined
176 in each specimen and was established by being sectioned to a level where the root canal systems
177 in adjacent teeth were visible. Two randomly chosen sections of each interproximal area were
178 examined at 200×magnification. All data were analyzed by a blinded examiner who did not

179 know the group to which an animal belonged. Bone loss was measured as the distance between
 180 the cemento-enamel junction (CEJ) and the highest peak of the interproximal bone. The number
 181 of polymorphonuclear (PMNs) leukocytes was counted in the gingival epithelium at 600×
 182 magnification. The identification of these cells was confirmed by an experienced examiner.

183 **Histomorphometric Analysis of TNF- α Immunohistochemistry-stained Sections**

184 To evaluate the number of cells expressing TNF- α , sections were stained by
 185 immunohistochemistry with an antibody against TNF- α (IHCWORLD, Woodstock, MD). The
 186 number of positive cells was evaluated 1mm down from the level of bone crest apically in an
 187 area of periodontal ligament at between 1st molar and 2nd molar. Cell counts were obtained by
 188 one examiner and confirmed by a second independent examiner with similar results. Numbers of
 189 positive cells in epithelium and gingival connective tissue were evaluated based on a scale: 0, no
 190 positive cells; 1, 3 to 4 positive cells per field with weak immunostaining; 2, 4 to 10 positive
 191 cells per field with strong immunostaining; and 3, more than 10 positive cells per field with
 192 strong immunostaining. Sections were examined at 600×magnification.

193 **Detection of apoptotic cells**

194 Apoptotic cells were detected by an in situ transferase-mediated dUTP nick-end labeling
 195 (TUNEL) assay (DeadEnd™ Fluorometric TUNEL System kit, Promega, Madison, WI)
 196 following the manufacturer's instructions. This kit detects double-strand breaks in genomic DNA
 197 and identifies most stages of apoptosis. The fluorescein-12-dUTP-labeled DNA then was
 198 visualized directly by fluorescence microscopy. Additional counts were made to specifically
 199 avoid counting apoptotic leukocytes. This was accomplished by the TUNEL assay followed by
 200 immunofluorescence with an anti-CD18 antibody (Novus Biological, Littleton, CO). The number

201 of non-I leukocytic apoptotic cells (TUNEL+/CD18-) was counted at 200 X magnification with
 202 an immunofluorescent microscope using NIS Elements software (Nikon, Melville, N.Y) in
 203 epithelium or connective tissue above the alveolar bone crest. Cells counts were obtained by one
 204 examiner and confirmed by a second independent examiner with similar results.

205 **Systemic Leukocyte Analysis**

206 Rat lymph leukocytes were isolated and analyzed as previously described (28). Single-cell
 207 suspensions were obtained from the submandibular and cervical lymph nodes. Lymphocyte
 208 populations were isolated by Ficoll-Hypaque density gradient centrifugation. Flow cytometry was
 209 conducted using anti-CD32 (clone D34-485) for blocking FcγII receptors, PE-conjugated
 210 anti-CD4 (clone OX-38), FITC-conjugated anti-CD3 (clone G4.18, BD Biosciences, San Jose,
 211 CA); FITC-labeled anti-FoxP3 (clone FJK-16s), and PE-conjugated anti-CD25 (clone OX39)
 212 from eBioscience (San Diego, CA); and anti-IA (clone 14-4-4S) from American Type Culture
 213 Collection (Manassas, VA). Blood was analyzed by HemaTrue Hematology Analyzer (HESKA,
 214 Loveland, CO). Total number of white blood cells, numbers of lymphocytes, monocytes and
 215 granulocytes, the percentages of lymphocytes, monocytes and granulocytes were analyzed.

216 **Statistical analysis**

217 Differences between two groups such as diabetic and normal were determined by Student's t
 218 test and between time points within a group by one way ANOVA except for evaluation of
 219 TNF-α. Differences in TNF-α values were determined by non-parametric analysis with
 220 Mann-Whitney U test. Significance levels were set at 5%.

221

222 **RESULTS**

223 **Induction of Periodontal Disease**

224 At baseline the antibody titer level in diabetic rats was low and increased after *Aa* infection
 225 so that at 6 weeks it was 32-fold higher than baseline ($P<0.01$) (Fig 1A). And for all *Aa* infected
 226 diabetic rats, the antibody titers rats were 18-fold higher than non-infected animals ($P<0.01$) (Fig
 227 1B). Moreover, after infection diabetic animals had antibody titers level that were 2.3-fold higher
 228 than normoglycemic infected animals ($P<0.05$) (Fig 1B). Diabetic rats also showed a significant
 229 decrease in antibody titer level after antibiotic treatment ($P<0.05$) (Fig 1C).

230 The impact of *Aa* infection on total anaerobic bacteria levels in non-infected normoglycemic
 231 and diabetic rats as well as infected diabetic rats was also examined. Despite a trend towards
 232 increased levels of anaerobic bacteria in infected diabetic rats compared to normoglycemic the
 233 results were not significant differences (Supplemental Table 1). Similarly the percent rats exposed
 234 to *Aa* that had detectable infection was not higher between the normoglycemic and diabetic groups
 235 (Supplemental Table 2)

236 A number of parameters were evaluated to examine the impact of *Aa* infection on systemic
 237 leukocyte populations in diabetic animals. Following *Aa* infection there was no change in *Aa*
 238 infected compared to uninfected rats of MHC II positive cells, T cells, B cells or Treg cells for
 239 either normoglycemic or diabetic rats (Tables 1 and 2). However there was a slight reduction in
 240 the percent lymphocytes in the peripheral circulation of infected diabetic rats compared to
 241 infected normoglycemic rats and a 1.5 fold increase in the percent granulocytes in infected
 242 diabetic compared to infected normoglycemic rats ($P<0.05$) (Table 2).

243 Bone loss was induced in the diabetic rats as evidenced by an increase in the distance from
 244 CEJ to alveolar bone crest after 5 weeks ($P<0.05$) (Fig 2A and Supplemental Fig 1). In *Aa*
 245 infected diabetic animals there was a 1.8-fold increase in bone loss compared to non-infected
 246 diabetic rats at baseline. The bone loss of 1.7 fold higher in the infected diabetic compared to

247 infected normoglycemic rats ($P<0.05$) (Fig 2B). Antibiotic treatment significantly decreased
248 bone loss in the diabetic rats ($P<0.05$) (Fig 2C).

249 **PMN infiltration**

250 The formation of a PMN infiltrate in gingival epithelium was assessed (Supplemental Fig 2).
251 PMNs increased 7-fold ($P<0.05$) four weeks after *Aa* inoculation in diabetic rats (Fig 3A). Both
252 normal and diabetic non-infected rats had similar levels of PMNs. After *Aa* infection PMN
253 numbers increased 3.4-fold in normal rats, while it increased 5.3 fold in diabetic rats ($P<0.05$) (Fig
254 3B). The greater increase in PMNs in infected diabetes rats, compared to infected normal rats, is
255 consistent with the significant increase ($P<0.05$) in blood granulocytes in infected diabetic rats.
256 Antibiotic treatment significantly reduced the PMN infiltration in the diabetic rats ($P<0.05$) (Fig
257 3C).

258 **TNF- α**

259 TNF- α was measured in the gingival epithelium and connective tissue. In epithelium, TNF- α
260 values of diabetic rats were significantly higher in those exposed to *Aa* inoculation compared to
261 non-infected diabetic rats ($P<0.05$) and significantly higher than infected normoglycemic rats
262 ($P<0.05$) (Fig 4B and Supplemental Fig 3). Antibiotic treatment resulted in a significant decrease
263 in TNF- α expression in the epithelium of diabetic rats ($P<0.05$) but had no effect in the
264 normoglycemic group (Fig 4C).

265 TNF- α was also measured in the gingival connective tissue. It significantly increased in
266 diabetic rats 5 weeks after *Aa* infection ($P<0.05$) (Fig 5A) and was substantially higher than
267 values found in infected normoglycemic rats ($P<0.05$) (Fig 5B). When treated with antibiotic
268 there were no differences in TNF- α values in the connective tissue of diabetic and normal rats
269 ($P>0.05$) (Fig 5C).

270 **Induction of Apoptosis**

271 Because apoptosis is thought to play an important role in periodontal disease progression we
272 determined whether diabetic animals had significantly higher levels of apoptosis in the gingival
273 epithelium (Supplemental Fig 4) and whether the increase was mediated by caspase-3 in both.
274 Prior to *Aa* infection the level of apoptosis was low in both diabetic and normoglycemic groups.
275 The onset of *Aa* infection significantly increased the level of apoptosis 2 to 3 fold in the
276 normoglycemic rats and 12-fold in the diabetic with the difference between them being significant
277 ($P<0.05$) (Fig 6A). The results were similar when presented as the percent gingival epithelial cells
278 that were apoptotic or the number of apoptotic epithelial cells per μm^2 (Fig 6B). The principal
279 leukocytic cell type infiltrating *Aa*-infected gingiva was granulocyte. Apoptosis was evaluated as
280 the percent of non-leukocytic TUNEL+/CD18- cells in the gingival epithelium. The number of
281 TUNEL+/CD18- epithelial cells was significantly increased in both the normal and diabetic
282 groups after *Aa* infection ($P<0.05$) (Fig 6C). The percent of apoptotic cells in diabetic animals was
283 2-fold greater than normoglycemic animals ($P<0.05$) (Fig. 6C). To assess the impact of inhibiting
284 caspase-3/7, the specific caspase inhibitor DEVD was administered daily starting on week 4 and
285 the number of TUNEL+/CD18- cells was counted one week later. *Aa* infection at this time point
286 increased apoptosis of epithelial cells by 2.6-fold compared to baseline but antibiotic treatment
287 had no significant effect in reducing these levels ($P>0.05$). Treatment with caspase inhibitor plus
288 antibiotics reduced the number of apoptotic epithelial cells by reversing the impact of *Aa*
289 infection to baseline levels ($P<0.05$) (Fig 6D).

290 Apoptosis was also examined in gingival connective tissue. Both normal and diabetic rats
291 showed an almost 3 fold increase in apoptotic cells after infection when examined as the
292 percentage of positive cells or as the number of apoptotic cells per area ($P<0.05$) (Fig.7A, B).

293 The total number of apoptotic cells in the gingival connective tissue of the diabetic group was
 294 more than 2-fold higher than the normoglycemic rats ($P<0.05$). The percent of TUNEL+/CD18-
 295 cells in the gingival connective tissue was measured. Following *Aa* infection values increased
 296 3.9-fold ($P<0.05$) in diabetic but not in normal animals (Fig 7C). At 5 weeks *Aa* infection the
 297 percent of TUNEL+/CD18- cells significantly increased in diabetic group ($P<0.05$) (Fig 7D).
 298 Antibiotic treatment alone had no effect, but antibiotic treatment combined with caspase-3/7
 299 inhibitor significantly blocked the increase in non-granulocytic cell apoptosis in the connective
 300 tissue ($P<0.05$) (Fig 7D).

301

302

303 **DISCUSSION**

304 The results here demonstrate that *Aa* infection significantly enhances PMN infiltration and
 305 TNF- α expression in both normal and diabetic rats. Moreover, each of these parameters was
 306 significantly greater in the diabetic animals, which agrees with the increased bone loss observed
 307 in the diabetic group here as well as in other studies (17, 29, 30). Thus, diabetic rats exhibited
 308 greater inflammatory response compared to the normoglycemic group in response to a similar *Aa*
 309 inoculum.

310 Diabetes generally enhances inflammation by altering myeloid and lymphoid functions (12,
 311 34). We found here that the local periodontal inflammatory response in diabetic animals was
 312 greater as evidenced by an enhanced expression of TNF- α and a larger PMN infiltrate and is
 313 consistent with findings in other models (15, 33). These local findings were in agreement with
 314 the significant increase in percent of whole blood granulocytes in diabetic rats post-infection.
 315 Elevated levels of antibody against *Aa* were also found in diabetic rats post-infection when
 316 compared to normal rats. The number of lymphocytes collected from whole blood, however, did

317 not exhibit the same trend, showing a significant decrease compared to normoglycemic rats after
318 *Aa* infection. It is conceivable that this decrease in lymphocyte population could be a result of
319 CDT-induced apoptosis. Alternatively, the decrease in lymphocyte population after *Aa* infection
320 may be due to a proportional increase in granulocytes.

321 *Aa* infection has been shown to increase apoptosis *in vitro* but has not yet been tested *in vivo*
322 in a periodontal model (20). We demonstrate here that inoculating animals with *Aa* significantly
323 stimulated apoptosis in both gingival epithelium and connective tissue of rats, especially in the
324 diabetic animals. Other studies have also shown that apoptosis is significantly increased in
325 diabetes when periodontal disease is induced in an animal model (14, 29). There are several
326 mechanisms through which *Aa* infection could enhance apoptosis in the rat. Our study indicates
327 that the high rate of apoptosis in diabetic rats due to *Aa* infection is largely blocked by a
328 caspase-3/7 inhibitor. It is possible that *Aa* through its cytolethal distending toxin (CDT) could
329 stimulate apoptosis. CDT has been shown to induce apoptosis in epithelial cells, fibroblasts and
330 endothelial cells (19, 35). It has recently been shown that CDT induces apoptosis through a
331 caspase-3 dependent pathway in immortalized gingival epithelial cells (1). However, the other
332 apoptosis inducing factor produced by *Aa*, leukotoxin A, appears not to stimulate apoptosis in rat
333 cells (20). Alternatively, *Aa* could induce apoptosis through indirect mechanisms. Interestingly,
334 diabetic rats had significantly higher TNF- α levels and more apoptotic cells compared to normal
335 rats after *Aa* infection. TNF- α has been shown to mediate both *P. gingivalis* and LPS induced
336 apoptosis *in vivo* (2, 11). Thus excessive production of TNF- α is another potential pathway
337 through which diabetes could enhance apoptosis of epithelial and connective tissue cells thereby
338 affecting the response to bacterial infection and may occur simultaneously with CDT induced
339 apoptosis.

340 The impact of antibiotic treatment post-infection was also evaluated. Antibiotics have long
341 been used as an adjunct therapy in the treatment of localized aggressive periodontitis (18, 41, 42).
342 We also examined the impact of antibiotic treatment on *Aa* antibody titer, alveolar bone
343 resorption, PMN infiltration, TNF- α levels and apoptosis in *Aa* infected periodontium. For *Aa*
344 antibody titer, PMN infiltration, TNF- α levels and apoptosis the diabetic rats showed a
345 significant reduction with antibiotic treatment while these parameters were not reduced by
346 antibiotic treatment in normoglycemic rats. These results suggest that there are anti-bacterial
347 deficits in diabetic mice that contribute to greater induction of pro-inflammatory events
348 stimulated by periodontal pathogens at the local level that can be reversed by antibiotic
349 treatment.

350 In summary, the impact of diabetes on the periodontium was investigated in a relatively
351 new model of periodontitis, oral inoculation of *Aa* in the rat, which has the advantage that the rat
352 is a natural host of *Aa*. In this model, diabetes affected *Aa*-induced periodontal destruction by
353 significantly increasing the inflammatory response leading to increased bone loss and apoptosis
354 of gingival epithelial and connective tissue cells. The excessive production of TNF- α and the
355 impact of CDT could be potential mechanisms through which apoptosis was induced at higher
356 levels in diabetic animals. Antibiotics were able to reverse many parameters of the local host
357 response in diabetic compared to normoglycemic animals suggesting that a component to the
358 enhanced inflammatory response is due to a deficit in the capacity of diabetic animals to resist
359 infection. This information provides valuable insight as to how diabetes may alter host-bacteria
360 interactions in a way that promotes periodontal breakdown.

361

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372

Table 1. Lymphocyte populations of *Aa* non-infected and infected rats

	Normal Non-infected	Infected	Diabetic Non-infected	Infected
MHC II	55.9±11.7	44.1±14.8	38.3±6.8*	37.0±12.0
CD4+	31.5.1±11.4	32.4±13.0	42.1±9.3	42.7±13.9
CD8+	24.6±6.9	35.2±10.7	29.6±9.4	31.4±8.9
CD25+	5.2±0.9	6.7±2.8	5.4±0.8	7.9±2.4
FoxP3+	4.3±0.8	5.3±3.1	7.0±3.4	7.2±2.4

373 Lymphocyte populations from draining cervical and submandibular lymph nodes were analyzed
 374 as described in MATERIALS and METHODS. Rats described in Fig.1 were examined for
 375 lymphocyte populations according to status of infection. Each value is the mean of 5 to 7 rats ±
 376 SE. * P<0.05, compared to normal rats.

377

378

Table 2. Leukocytic cells of *Aa* non-infected and infected rats

	Normal Non-infected	Infected	Diabetic Non-infected	Infected
WBC (10³UI/mL)	7.9±2.9	7.8±4.7	6.9±3.2	8.0±4.0
Lymphocytes (10³UI/mL)	6.2±2.5	5.8±3.3	4.4±1.0	5.2±2.8
Monocytes (10³UI/mL)	0.4±0.1	0.3±0.2	0.4±0.3	0.4±0.2
Granulocytes (10³UI/mL)	1.3±0.5	1.7±1.5	2.2±2.0	2.3±1.4
% Lymphocytes	77.0±5.5	76.0±10.5	69.0±13.8	65.6±13.5*
% Monocytes	4.8±1.0	3.5±1.2	4.8±1.4	4.5±1.8
% Granulocytes	18.1±4.6	20.6±9.9	26.2±12.5	30.0±13.4*

379 Leukocytic cells from peripheral blood were analyzed as described in MATERIALS and
 380 METHODS. Rats described in Fig.1 were examined for leukocytic cells according to status of
 381 infection. Each value is the mean of 5 to 7 rats ± SE. * P<0.05, compared to normal rats. WBC,
 382 white blood cells.

383

384 **Figure Legends**

385 Figure 1. Diabetes increases the antibody titer to *Aa* in infected rats. The diabetic and normal rats
386 were infected orally with *Aa* and antibody (IgG) reactive with *Aa* was assessed by ELISA. After
387 4 weeks infection one group of rats was treated with antibiotics or equivalent vehicle alone. Rats
388 were euthanized at baseline and 4, 5 and 6 weeks after *Aa* inoculation was completed. (A)
389 Antibody titer levels in diabetic rats over time. (B) Antibody titer in non-infected (baseline) and
390 infected (4-6 weeks) normoglycemic and diabetic rats. (C) Effect of antibiotic treatment on
391 antibody titer in normoglycemic and diabetic rats. Each value in A, B and C is the mean of 5 to 7
392 rats \pm SEM. *Significant difference between diabetics and normal rats ($P<0.05$). ⁺Significant
393 difference between diabetics rats in different groups ($P<0.05$).

394 Figure 2. Diabetes increases bone loss in *Aa* infected rats. The distance between CEJ to alveolar
395 bone crest was measured (A) CEJ to bone distance in diabetic rats. (B) CEJ to bone distance in
396 non-infected (baseline) and infected (4-6 weeks) normoglycemic and diabetic rats. (C) Effect
397 of antibiotic treatment on CEF to bone distance in normoglycemic and diabetic rats. Each value
398 is the mean of 5 to 7 rats \pm SE. *Significant difference between diabetics and normal rats
399 ($P<0.05$). ⁺Significant difference between diabetics rats in different groups ($P<0.05$).

400 Figure 3. Diabetes increases the number of PMNs of *Aa* infected rats. The number of PMNs
401 infiltrating the gingival epithelium was measured. (A) PMN infiltration in diabetic rats over time.
402 (B) PMNs in non-infected (baseline) and infected (4-6 weeks) normoglycemic and diabetic rats.
403 (C). Effect of antibiotic treatment on PMN infiltration in normoglycemic and diabetic rats. Each
404 value in A, B and C is the mean of 5 to 7 rats \pm SE. *Significant difference between diabetics
405 and normal rats ($P<0.05$). ⁺Significant difference between diabetics rats in different groups

406 ($P<0.05$).

407 Figure 4. Diabetes increases the TNF- α expression in the gingival epithelium of *Aa* infected rats.
408 TNF- α positive cells were detected by immunohistochemistry in histologic specimens using a
409 specific antibody. Rats described in Fig. 1 were examined for TNF- α expression using the
410 following scale that took both number of immunopositive cells and intensity of immunostaining
411 into account: 0: no positive cells; 1: 3 to 4 positive cells per field with weak immunostaining; 2:
412 4 to 10 positive cells per field with strong immunostaining; and 3: more than 10 positive cells per
413 field with strong immunostaining. (A) TNF- α in gingival epithelium of diabetic rats. (B) TNF- α
414 expression in non-infected (baseline) and infected (4-6 weeks) gingival epithelium in
415 normoglycemic and diabetic rats. (C). Effect of antibiotic treatment in normoglycemic and
416 diabetic rats. Each value represents the mean of 5 to 7 rats \pm SEM. *Significant difference
417 between diabetics and normal rats ($P<0.05$). ⁺Significant difference between diabetics or normal
418 rats in different groups ($P<0.05$).

419 Figure 5. TNF- α expression is increased in gingival connective tissue of diabetic rats following
420 *Aa* infection. (A) TNF- α expression in gingival connective tissue of diabetic rats. (B)
421 TNF- α expression in non-infected (baseline) and infected (4-6 weeks) gingival connective tissue
422 in normoglycemic and diabetic rats. (C). Effect of antibiotic treatment in gingival connective
423 tissue of normoglycemic and diabetic rats. Each value represents the mean of 5 to 7 rats \pm SEM.
424 *Significant difference between diabetics and normal rats ($P<0.05$). ⁺Significant difference
425 between diabetics or normal rats in different groups ($P<0.05$).

426 Figure 6. Diabetes increases the apoptosis of epithelial cells of *Aa* infected rats in a caspase-3
427 dependent manner. Apoptotic cells were detected in gingival epithelium by TUNEL staining in

428 epithelium in rats described in Fig. 1. In some groups rats were treated with a antibiotic or
429 antibiotic plus capase-3 inhibitor starting at week 4. (A) Percent of apoptotic gingival epithelial
430 cells per total number of gingival epithelial cells; (B) Total number of apoptotic gingival
431 epithelial cells per area. C and D: Non-leukocytic cells were identified as CD18 negative and
432 apoptotic cells identified as TUNEL positive. (C) TUNEL+/CD18- cells per total number of
433 CD18- cells. (D) Rats at week 4 were treated with antibiotic or antibiotic plus caspase-3 inhibitor
434 and euthanized a week after .TUNEL+/CD18- cells per total number of CD18- cells were
435 counted. Each value is the mean of 5 to 7 rats \pm SEM. *Significant difference between diabetics
436 and normal rats ($P<0.05$). ⁺Significant difference between diabetics or normal rats in different
437 groups ($P<0.05$). [^]Significantly different between antibiotic and antibiotic plus caspase-3
438 inhibitor.

439 Figure 7. Diabetes increases apoptosis of cells in gingival connective tissue of *Aa* infected rats in
440 a caspase-3 dependent manner. (A) Total apoptotic gingival connective tissue cells per total
441 number of gingival connective tissue cells; (B) Total apoptotic gingival connective tissue cells
442 per epithelial area. C and D: Non-leukocytic cells were identified as CD18 negative and
443 apoptotic cells identified as TUNEL positive. (C) TUNEL+/CD18- cells per total number of
444 CD18- cells in connective tissue. (D) Rats at week 4 were treated with antibiotic or antibiotic and
445 caspase-3 inhibitor and euthanized a week after. The TUNEL+/CD18- cells per total number of
446 CD18- cells were counted. Each value is the mean of 5 to 7 rats \pm SEM. *Significant difference
447 between diabetics and normal rats ($P<0.05$). ⁺Significant difference between diabetics or normal
448 rats in different groups ($P<0.05$). [^]Significantly different between antibiotic and antibiotic plus
449 caspase-3 inhibitor.

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Fig 1.

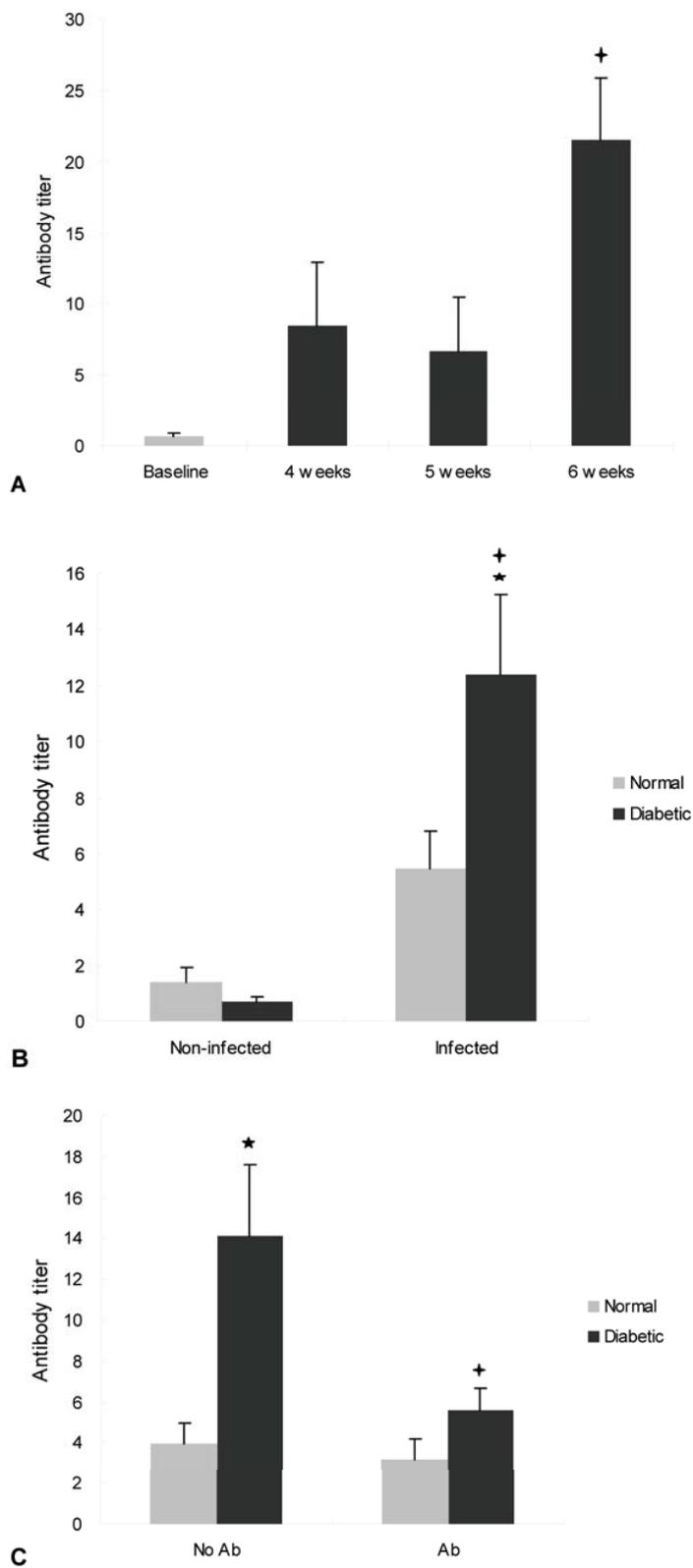


Fig 2.

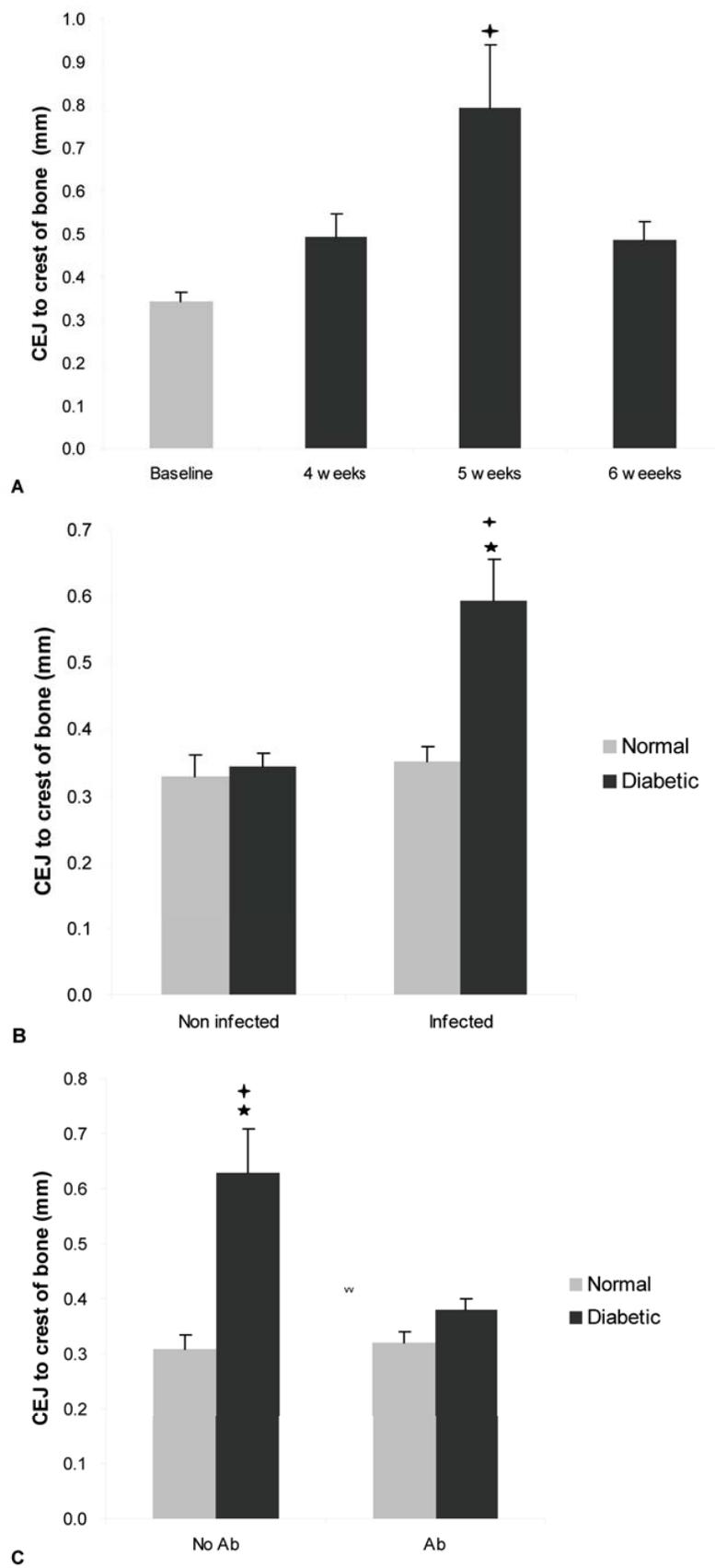


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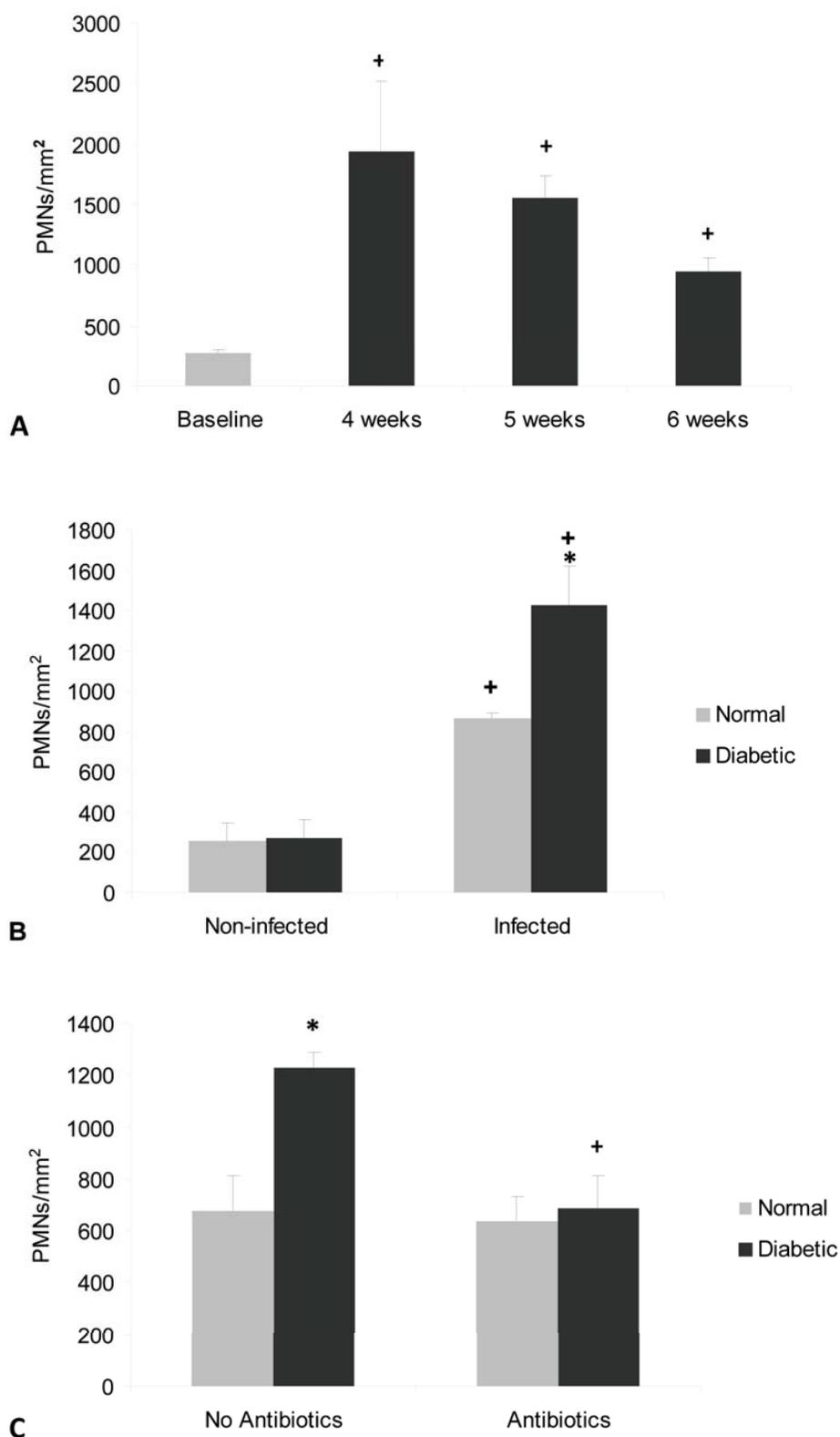


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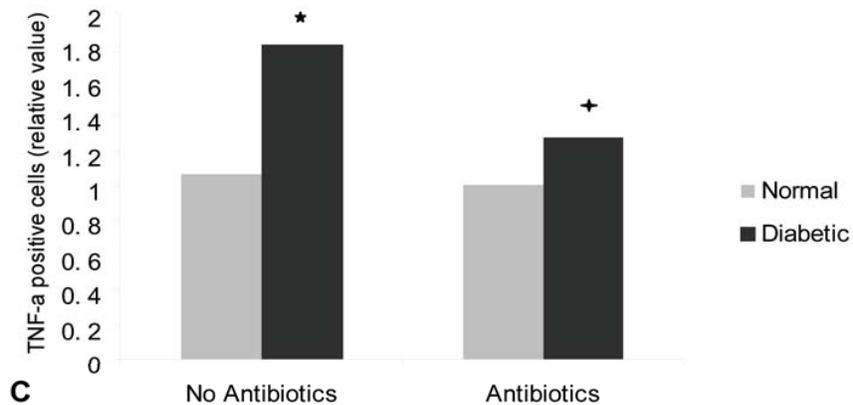
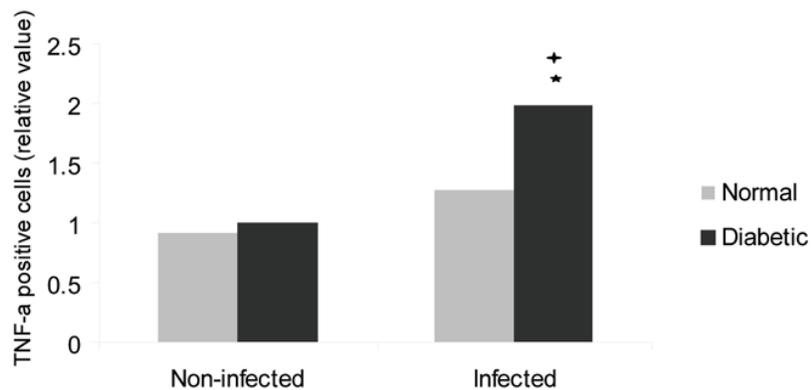
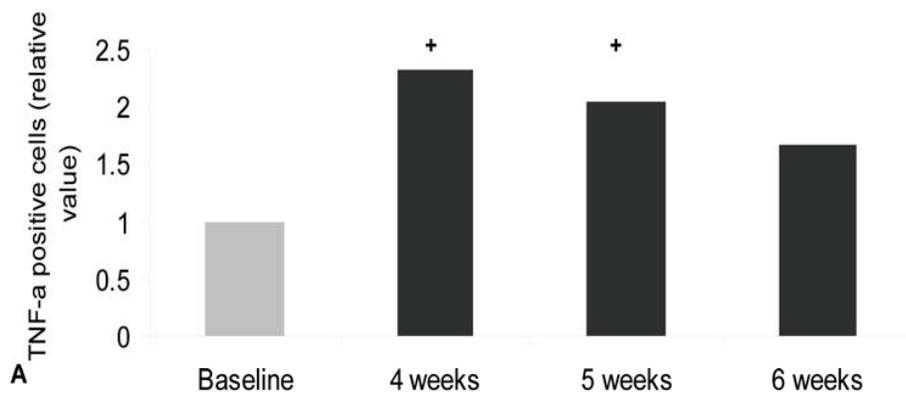


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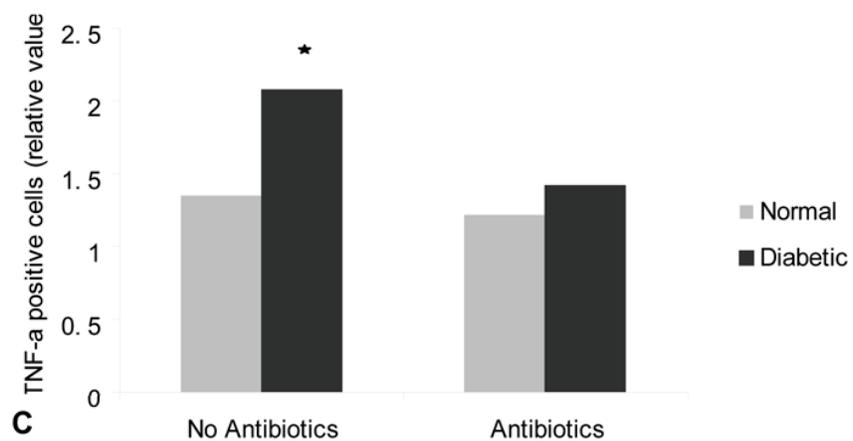
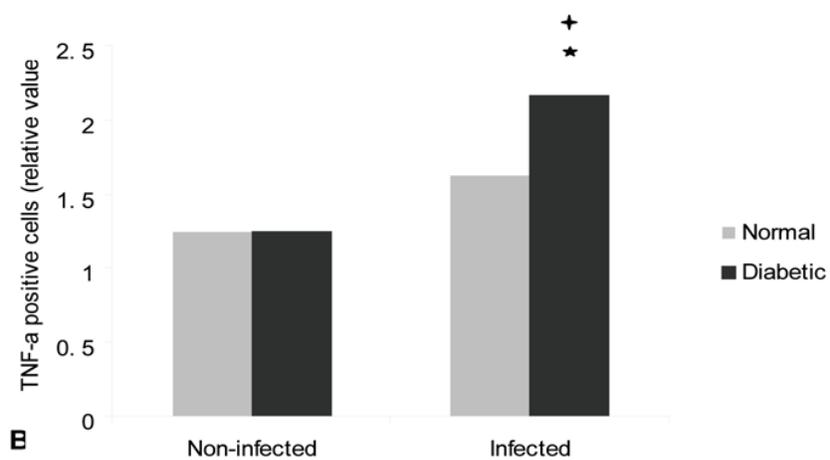
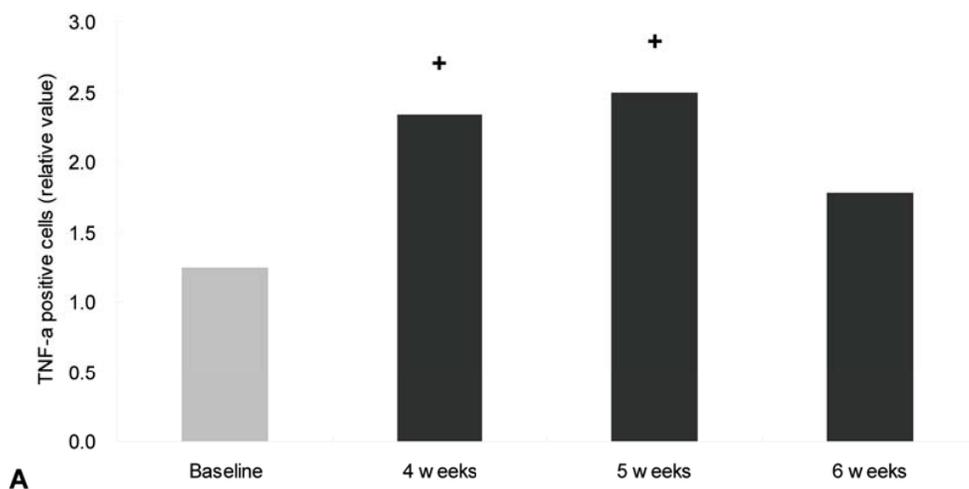


Fig 6.

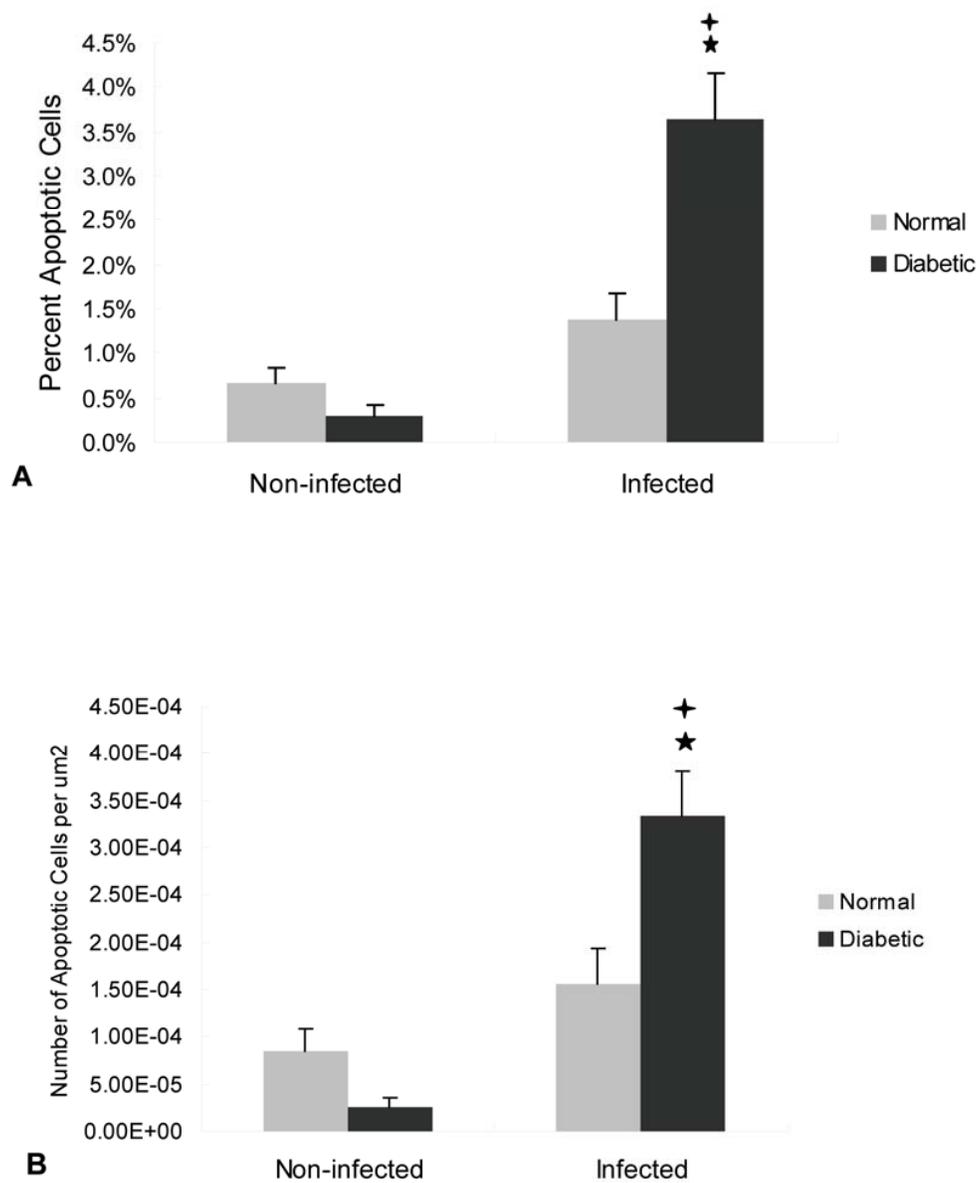


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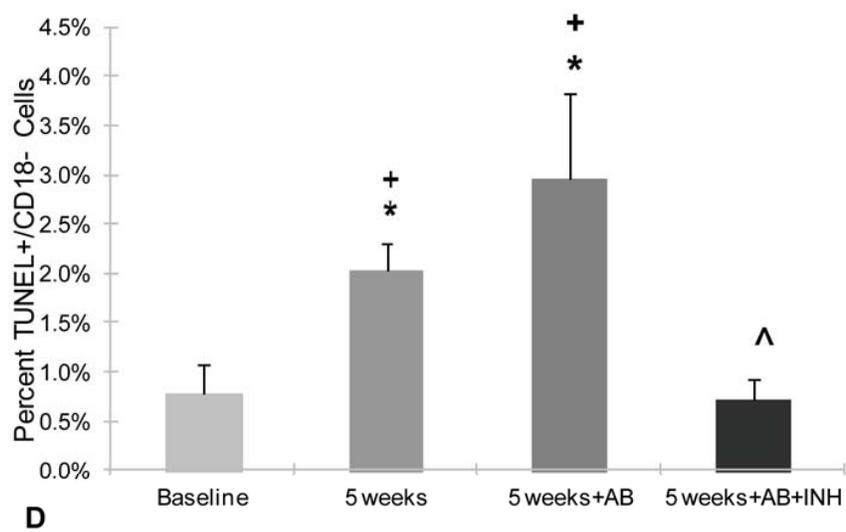
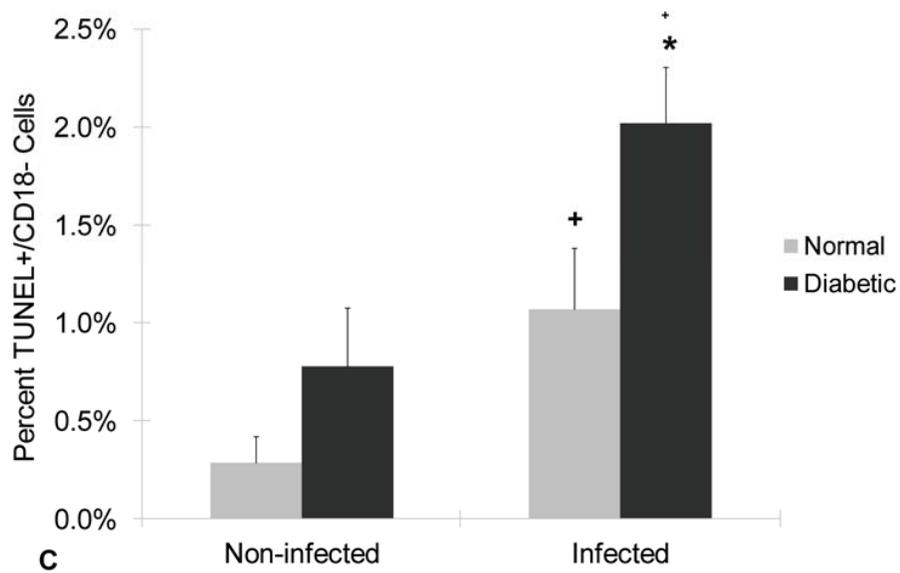


Fig 7.

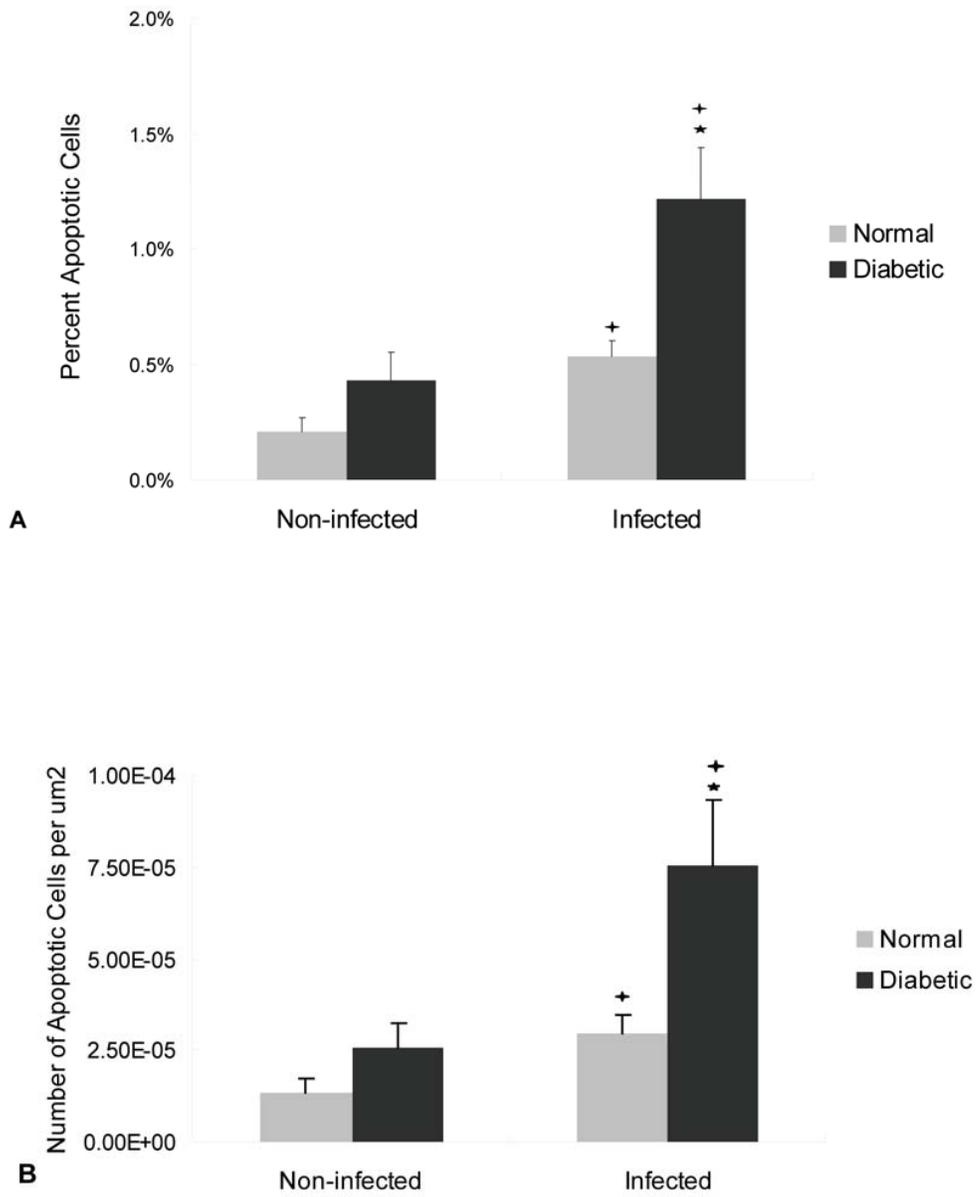


Fig 7.

